

# French Broker Case Study: SSI Process Optimization

DTCC'S CONSULTING SERVICES DELIVERS MARKET INSIGHTS, BENCHMARKING, MATURITY ASSESSMENTS, TARGET CONTROL FRAMEWORKS AND PEER ANALYSIS, IN ADDITION TO LARGE-SCALE PROCESS IMPROVEMENT AND CHANGE PROGRAMS BY LEVERAGING OUR INTERACTIONS, SERVICES AND PRODUCTS, AS WELL AS OUR INDUSTRY NETWORK. WE TAILOR THESE DELIVERABLES DAILY TO FIT OUR CLIENTS' NEEDS.

**Project Type:** Diagnostic, Design & Deliver | **Client Type:** French Broker | **Duration:** 5 weeks

## BACKGROUND

DTCC Consulting Services was engaged by a French Broker for a five-week project aimed at enhancing the efficiency of the client's Standard Settlement Instructions (SSI) processes in ALERT®. The primary objectives were to:

- Reduce operational risk through the elimination of rework and manual processing.
- Develop the knowledge and capabilities of the client's Middle Office (MO) and Back Office (BO) teams to manage SSI processing more effectively.
- Ensure the MO and BO teams have appropriate access and capability to use the right industry tools for their needs. In this case, DTCC ALERT Web.
- Review the current usage of existing vendors and recommend client outreach where necessary.

## OUR APPROACH

### ➤ PHASE ONE: CURRENT STATE REVIEW

- Consulting Services conducted a comprehensive review of the client's current SSI processes and compared them to industry best practices to identify gaps and areas for improvement.

### ➤ PHASE TWO: ENHANCEMENT RECOMMENDATIONS

- Validated a comprehensive set of recommendations, outlining key priorities, benefits, and next steps to enhance SSI processing efficiency.
- Leveraged our experts' extensive knowledge of SSI best practices and ALERT to conduct training sessions, fill knowledge gaps, and ensure future recommendations are implemented effectively.

### ➤ PHASE THREE: OPTIMIZATION ROADMAP

- Defined business cases for implementing the recommendations and developed a detailed roadmap with key milestones, tasks, and dependencies to achieve optimal SSI processing.

## WHAT WE DELIVERED

### ➤ IMPROVED SSI PROCESS EFFICIENCY

- Utilized quantitative data analysis to reinforce the findings and validate recommendations.
- Built benefit cases about ALERT Web, ALERT Direct, and potential confirmation processing to enable the decision of which option was best for the client.
- Conducted training sessions with the client's operations teams to enable optimal usage of ALERT Web.

### ➤ INCREASED ADOPTION OF ALERT-SOURCED SSIs

- Identified and prioritized clients for the ALERT outreach program, providing a targeted list to enhance the adoption of ALERT-sourced SSIs, their method of tracking post-trade performance.

## FOR MORE INFORMATION

To learn more about how DTCC Consulting Services can partner with you to help your firm, [contact us](#) via our website.

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